SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Addro<br>Morse Robe  | ess of Reporting Pers<br><u>rt Randolph</u> | son*                      |           | Name <b>and</b> Ticker<br>e Investment  |                                   | <sup>bol</sup><br>l <u>dings Inc.</u> [ BRDG ]                   | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>X Director X 10% Owner |  |   |  |  |  |
|--|---|---------------------------|-----------|---|-----------------------------------|--|--|--|---|--|--|--|
| (Last)<br>C/O BRIDGE I   | (First)                                     | (Middle)<br>ROUP HOLDINGS | 01/01/2   | of Earliest Transact<br>023             | ion (Month/Day                    | /Year)   | X  | Officer (give title below)                       |   | (specify                               |  |  |
| 111 EAST SEC   | GO LILY DRIVE,                              | SUITE 400                 | 4. If Ame | endment, Date of O                      | riginal Filed (Mo                 | onth/Day/Year)   | 6. Individual or Joint/Group Filing (Check Applicable Line)<br>X Form filed by One Reporting Person  |  |   |  |  |  |
| (Street)   |   |                           |           |   |                                   |  |  | Form filed by More                               | e than One Repor                                    | ting Person                            |  |  |
| SANDY  | UT  | 84070                     |           |   |                                   |  |  |  |   |  |  |  |
| (City)   | (State)                                     | (Zip)                     |           |   |                                   |  |  |  |   |  |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |                           |           |   |                                   |  |  |  |   |  |  |  |
| 1. Title of Security (Instr. 3)<br>Date<br>(Month                                |   |                           |           | 2A. Deemed<br>Execution Date,<br>if any | 3.<br>Transaction<br>Code (Instr. | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 ar | nd 5)  | 5. Amount of<br>Securities<br>Beneficially Owned | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I) | 7. Nature of<br>Indirect<br>Beneficial |  |  |

#### (Month/Day/Year) 8) Following Reported Transaction(s) (Instr. 4) Ownership (Instr. 4) (A) or (Instr. 3 and 4) Code ν Amount Price (D) Class A Common Stock 01/01/2023 Α 720,000(1) \$<mark>0</mark> 1,890,856 D Α By FLM Class B Common Stock 27,870,157 Holdings<sup>(2)</sup> **By Family** Class B Common Stock 1,412,126 I Trusts<sup>(3)</sup>

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| (e.g., puis, cans, warrants, options, convertible securities) |     |            |   |   |   |                              |   |                     |  |                            |   |  |  |  |   |
|---|-----|------------|---|---|---|------------------------------|---|---------------------|--|----------------------------|---|--|--|--|---|
| 1. Title of<br>Derivative<br>Security (Instr.<br>3)           |     |            | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code (Instr.<br>8)<br>Code (Instr.<br>4.<br>Derivative<br>Securities<br>Acquired (A<br>Disposed or<br>(Instr. 3, 4 a<br>5) |   | e<br>s<br>(A) or<br>l of (D) | Expiration Date<br>(Month/Day/Year)<br>A) or<br>f (D) |                     | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security (Instr.<br>3 and 4) |                            | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |
|   |     |            |   | Code  | v | (A)                          | (D)   | Date<br>Exercisable | Expiration<br>Date   | Title                      | Amount or<br>Number of<br>Shares                    |  | Transaction(s)<br>(Instr. 4)   |  |   |
| Class A Units   | (4) | 01/01/2023 |   | J <sup>(5)</sup>  |   | 391,620                      |   | (4)                 | (4)  | Class A<br>Common<br>Stock | 391,620   | \$12.05  | 32,705,962   | I  | By FLM<br>Holdings,<br>LLC <sup>(2)</sup> |
| Class A Units   | (4) | 01/01/2023 |   | J <sup>(5)</sup>  |   | 2,680                        |   | (4)                 | (4)  | Class A<br>Common<br>Stock | 2,680   | \$12.05  | 1,444,649  | I  | By Family<br>Trusts <sup>(3)</sup>        |

Explanation of Responses:

1. Represents an award of restricted Class A Common Stock which will vest in three equal annual installments, with the first such annual installment vesting on January 1, 2026, subject to the Reporting Person's continued service with the Issuer through each vesting date.

2. The Reporting Person is the manager of FLM Holdings, LLC and may be deemed to be the beneficial owner of these securities.

3. Represents 567,797 Class A Units and 535,274 shares of Class B Common Stock held by The Charlotte Morse 2017 AET ("Trust I"), 292,284 Class A Units and 292,284 shares of Class B Common Stock held by The Elliot Coleman Morse 2017 AET ("Trust II"), 292,284 Class A Units and 292,284 shares of Class B Common Stock held by The Margaret Brooke Morse 2017 AET ("Trust II") and 292,284 Class A Units and 292,284 shares of Class B Common Stock held by The Margaret Brooke Morse 2017 AET ("Trust III") and 292,284 Class A Units and 292,284 shares of Class B Common Stock held by The Margaret Brooke Morse 2017 AET ("Trust III") and 292,284 Class A Units and 292,284 shares of Class B Common Stock held by The Margaret Brooke Morse 2017 AET ("Trust III") and 292,284 class A Units and 292,284 shares of Class B Common Stock held by The Robert Edson Morse 2017 AET ("Trust IV") and together with Trust I, Trust II and Trust III, the "Family Trusts"). FLM Management LLC is the trustee of each of the Family Trusts. The Reporting Person is the manager of FLM Management LLC and, as a result, may be deemed to be the beneficial owner of these securities.

4. The Class A Units in Bridge Investment Group Holdings LLC may be redeemed by the Reporting Person at any time for shares of Class A Common Stock on a 1-to-1 basis.

5. Represents securities issued in connection with the redemption of equity interests in entities affiliated with the Issuer.

### Remarks:

<u>/s/ Matthew Grant, as attorney-in-</u><u>01/04/2023</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.